## The optimal depth of variational quantum algorithms is QCMA-hard to approximate<sup>1</sup>

Lennart Bittel

Sevag Gharibian

Martin Kliesch

<sup>1</sup>Institute for Theoretical Physics Heinrich Heine University Düsseldorf Germany <sup>2</sup>Department of Computer Science Inst. for Photonic Quantum Systems Paderborn University Germany <sup>3</sup>Heinrich Heine University Düsseldorf Hamburg University of Technology Germany





<sup>1</sup>arXiv:2211.12519

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Hardness of optimizing VQA/QAOA depth

## Outline



## 2 Our results

3 Quantum Classical Merlin-Arthur (QCMA)

### Proof sketches

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#### Germany to invest €2B in quantum technologies

11 May 2021 | News

In one of the biggest spending plans of its kind in the world, the government commits to develop country's first quantum computer

#### By Éanna Kelly



German Science Minister, Anja Karliczek Photo: Anja Karliczek website

Germany is to invest  $\pounds$  billion in quantum computing and related technologies over five years, under a plan that dwarfs that of almost every other country, with the education and research ministry committing  $\pounds$ 1.1 billion by 2025 for R&D, while the economy ministry will contribute  $\pounds$ 878 million to develop applications.

The German Aerospace Centre will get most of the money, some  $\varepsilon740$  million, to team up with industry.

Announcing the plan on Tuesday, science minister Anja Karliczek, said the government aims to build a competitive quantum computer in five years, while growing a network of companies to develop applications.

## Noisy Intermediate-Scale Quantum (NISQ) computation era

Question: What can we do with near-term quantum devices with

- small number of qubits,
- short circuit depth,
- Iimited connectivity between qubits?



Hardness of optimizing VQA/QAOA depth

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# Variational Quantum Algorithms (VQA)

Idea: Hybrid classical-quantum setup

Function  $f(\theta_1, \dots, \theta_n) \in R$ Classical C

### Goals

- Wish to minimize some cost function  $f : \mathbb{R}^n \to \mathbb{R}$
- Variationally choose parameters  $\theta_i \in \mathbb{R}$  (via gradient descent, machine learning, etc)
- Our focus: Keep Q as small as possible (few qubits, low depth, etc)

Hardness of optimizing VQA/QAOA depth

## Under the hood

Quantum component  ${\cal Q}$ 



- Given set of Hamiltonians  $\{H_k\}$ , choose unitaries  $U_k(\theta_k) = e^{i\theta_k H_k}$  for k = 1, ..., d
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### Goal:

- Minimize "depth" *d*, i.e. number of rotations applied
- Crucial for NISQ devices: Low depth ⇒ circuit completes before noise destroys computation

## Quantum Approximate Optimization Algorithm (QAOA)

- Introduced in 2014 by Farhi, Goldstone, Gutmann
- Tries to approximately solve hard combinatorial problems, e.g. MAX CUT



For MAX CUT, alternate application of  $H_1 = \sum_{\text{edges } (i,j)} Z_i \otimes Z_j$  and  $H_2 = \sum_i X_i$ .

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### Algorithm

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- 2 Use Q to prepare state  $|\psi\rangle = e^{i\theta_d H_2} e^{i\theta_d H_1} \cdots e^{i\theta_2 H_2} e^{i\theta_1 H_1} |+ \cdots +\rangle$ .
- Measure  $|\psi\rangle$  in standard basis to get string x, which defines a cut in graph.

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### Question: What is the "right" depth d to use?

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This work: How hard to estimate the optimal depth, d, for VQA/QAOA?

# Definition of VQA minimization used by [Bittel, M. Kliesch, 2021]

### Recall:

NP-hard to optimize angles θ<sub>k</sub> if Hamiltonian sequence (H<sub>1</sub>,..., H<sub>d</sub>) and depth d prespecified [Bittel, M. Kliesch, 2021]

### VQA minimization (MIN-VQA) [Bittel, M. Kliesch, 2021]

- Input: Sequence  $(H_1, \ldots, H_L)$  of local Hamiltonians, observable M
- Output: Angles  $(\theta_1, \ldots, \theta_L)$  such that  $|\psi\rangle := e^{i\theta_L G_L} \cdots e^{i\theta_1 G_1} |0 \cdots 0\rangle$  minimizes  $\langle \psi | \mathbf{M} | \psi \rangle$ .

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### In words:

- Rotation axes (i.e. Hamiltonians) and their order of application fixed
- Implicitly, this also fixes the depth *L* of the ansatz
- Question: What if we relax these restrictions, and focus purely on depth minimization?

## Outline



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### Proof sketches

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# Formalizing depth minimization

### VQA minimization (MIN-VQA)

- Input: Set H of local Hamiltonians, observable M, depth thresholds  $d_1 \leq d_2$
- Output:

YES: if  $\exists$  at most  $d_1$  angles  $(\theta_1, \ldots, \theta_{d_1}) \in \mathbb{R}^{d_1}$  and Hamiltonians  $(G_1, \ldots, G_{d_1}) \in H^{\times d_1}$  s.t.

 $|\psi\rangle := e^{i heta_1 \, G_{\mathbf{d}_1}} \cdots e^{i heta_1 \, G_1} |0\cdots 0
angle$  satisfies  $\langle \psi | \pmb{M} | \psi 
angle \leq 1/3$ .

NO: if  $\forall$  sequences of at most  $d_2$  angles  $(\theta_1, \ldots, \theta_{d_2}) \in \mathbb{R}^{d_2}$  and  $(G_1, \ldots, G_{d_2}) \in H^{\times d_2}$ ,

 $|\psi\rangle := e^{i\theta_{d_2}G_{d_2}}\cdots e^{i\theta_1G_1}|0\cdots 0\rangle$  satisfies  $\langle \psi|M|\psi\rangle \ge 2/3.$ 

#### Notes:

- Closer to definition of ADAPT-VQE [Grimsley, Economou, Barnes, Mayhall 2019]
- Containment in QCMA straightforward: prover sends  $(\theta_i)$  and  $(G_i)$ , verifier runs Hamiltonian simulation.

## Our result for MIN-VQA

#### Theorem 1

For any  $\epsilon > 0$ , it is QCMA-hard to distinguish between the YES and NO cases of MIN-VQA, even if

$$rac{d_2}{d_1} \ge N^{1-\epsilon},$$

for *N* the encoding size of the instance.

#### In words:

- Approximating optimal depth of VQA, even up to large multiplicative factors, is intractable
- First natural QCMA-hard to approximate problem
- (Aside: NP  $\subseteq$  MA  $\subseteq$  QCMA  $\subseteq$  QMA.)

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## As for QAOA

### QAOA minimization (MIN-QAOA)

Input:

- Set  $H = \{H_b, H_c\}$  of local Hamiltonians
- Quantum circuit preparing ground state  $|gs_b\rangle$  of  $H_b$
- Depth thresholds  $d_1 \leq d_2$
- Output:

**YES**: if 
$$\exists$$
 at most  $d_1$  angles  $(\theta_1, \ldots, \theta_{d_1}) \in \mathbb{R}^{d_1}$  s.t.

$$|\psi\rangle := e^{i\theta_{(d_1)}H_b}e^{i\theta_{(d_1-1)}H_c}\cdots e^{i\theta_2H_b}e^{i\theta_1H_c}|\mathbf{gs}_b\rangle \qquad \text{satisfies } \langle \psi|M|\psi\rangle \leq 1/3.$$

NO: if  $\forall$  sequences of at most  $d_2$  angles  $(\theta_1, \ldots, \theta_{d_2}) \in \mathbb{R}^{d_2}$ ,

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## Our result for MIN-QAOA

#### Theorem 2

For any  $\epsilon > 0$ , it is QCMA-hard to distinguish between the YES and NO cases of MIN-QAOA, even if

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## Our result for MIN-QAOA

### Theorem 2

For any  $\epsilon > 0$ , it is QCMA-hard to distinguish between the YES and NO cases of MIN-QAOA, even if

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#### Disclaimers:

- Assume "perfect", idealized quantum computer (i.e. no noise, perfect gates, platform-independent, etc)
- Complexity results are worst-case, i.e. in practice special instances of problems might be easier to solve

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## Outline

Variational Quantum Algorithms (VQAs)

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## The de facto "quantum NP"

### Quantum Merlin-Arthur (QMA)

Promise problem  $\mathbb{A} = (A_{yes}, A_{no}) \in \mathsf{QMA}$  if  $\exists$  poly-time uniformly generated quantum circuit family  $\{Q_n\}$  s.t.:

- (YES case) If  $x \in A_{\text{yes}}$ ,  $\exists \text{ proof } |\psi_{\text{proof}}\rangle \in (\mathbb{C}^2)^{\otimes \text{poly}(n)}$ , such that  $Q_n$  accepts with probability at least 2/3.
- (NO case) If  $x \in A_{no}$ , then  $\forall$  proofs  $|\psi_{proof}\rangle \in (\mathbb{C}^2)^{\otimes poly(n)}$ ,  $Q_n$  accepts with probability at most 1/3.



## Wait... there's more than one definition "quantum NP"?



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## Wait... there's more than one definition of "quantum NP"?

Named after Snow White's dwarves:

- (Doc) QMA
- (Bashful) QMA1: QMA with perfect completeness
- (Happy) QCMA: QMA with classical proof
- (Grumpy) QMA(2): QMA with "unentangled" proof of form  $|\psi_1\rangle \otimes |\psi_2\rangle$
- Since zy) NQP: Quantum TM accepts  $x \in A_{yes}$  in poly-time with probability > 0. (Equals  $coC_{=}P$  [Fenner, Green, Homer, Pruim, 1998].)
- **(Dopey)** StoqMA: QMA with  $\{|0\rangle, |+\rangle\}$  ancillae, classical gates, measurement in X basis

### Quantum-Classical Merlin-Arthur (QCMA)

Promise problem  $\mathbb{A} = (A_{\text{ves}}, A_{\text{no}}) \in \text{QCMA}$  if  $\exists$  poly-time uniformly generated quantum circuit family  $\{Q_n\}$  s.t.:

- (YES case) If  $x \in A_{ves}$ ,  $\exists$  proof  $y \in \{0, 1\}^{poly(n)}$ , such that  $Q_n$  accepts with probability at least 2/3.
- (NO case) If  $x \in A_{n_0}$ , then  $\forall$  proofs  $y \in \{0, 1\}^{\text{poly}(n)}$ ,  $Q_n$  accepts with probability at most 1/3.



Question: What good is a classical proof to a quantum verifier?

## Recall

Quantum component Q



- Given set of Hamiltonians  $\{H_k\}$ , choose unitaries  $U_k(\theta_k) = e^{i\theta_k H_k}$  for k = 1, ..., d
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Goal: Map given QCMA circuit V to instance (H, d, d') of MIN-VQA s.t.  $\frac{d'}{d} \ge N^{1-\epsilon}$ , and

 $\exists$  proof y accepted by V  $\implies \leq d$  VQA levels suffice to get "good" measurement result

 $\forall$  proofs y, V rejects  $\implies$  > d' VQA levels required to get "good" measurement result

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Challenges:



Where will hardness of approximation (i.e. large ratio d'/d) come from?

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### Challenges:

- **1** Where will hardness of approximation (i.e. large ratio d'/d) come from?
- MIN-VQA does not restrict which Hamiltonians are applied, in which order, with which rotation angles. How to enforce computational structure?

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- **)** Where will hardness of approximation (i.e. large ratio d'/d) come from?
- MIN-VQA does not restrict which Hamiltonians are applied, in which order, with which rotation angles. How to enforce computational structure?
- MIN-QAOA even more restricted than MIN-VQA permits only two Hamiltonians, one of which also acts as observable?

## Challenge 1: Hardness of approximation

### Quantum Monotone Minimum Satisfying Assignment (QMSA)

Given quantum circuit V accepting non-empty monotone set  $S \subseteq \{0, 1\}^n$ , weight thresholds  $g \leq g'$ , output:

- YES if  $\exists x \in \{0,1\}^n$  of Hamming weight at most *g* accepted by *V*.
- NO if  $\forall x \in \{0,1\}^n$  of Hamming weight at most g' are rejected by V.

### Previously known:

- $\forall \epsilon > 0$ , QMSA is QCMA-hard to approximate within ratio  $g'/g \in O(N^{1-\epsilon})$  [G, Kempe, 2012]
- Exploits disperser-based NP-hardness of approximation framework of [Umans 1999] for Σ<sup>ρ</sup><sub>2</sub>

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### To overcome Challenge 1:

- Reduce QMSA to MIN-VQA via poly-time, many-one reduction
- Maintaining  $N^{1-\epsilon}$  hardness ratio will require special attention

Revised Goal: Map given QMSA instance (V, g, g') to instance (H, d, d') of MIN-VQA s.t.  $\frac{d'}{d} \ge N^{1-\epsilon}$ , and

 $\exists$  proof y of Hamming weight  $\leq g$  accepted by V  $\implies \leq d$  VQA levels to get "good" measurement result

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 $\exists$  proof y of Hamming weight  $\leq g$  accepted by V  $\implies \leq d$  VQA levels to get "good" measurement result  $\forall$  proofs y of Hamming weight  $\leq q'$ . V rejects  $\implies > d'$  VQA levels to get "good" measurement result

### Idea

Use "hybrid Cook-Levin + Kitaev" circuit-to-Hamiltonian construction

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### Idea

- Use "hybrid Cook-Levin + Kitaev" circuit-to-Hamiltonian construction
- Build set of VQA Hamiltonians  $H = P \cup Q \cup F \cup G$ , such that for an honest prover:
  - (Proof) Hamiltonians from P used to prepare proof y

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  - 3 (2D clock) Hamiltonians from F ∪ G implement "2D clock" to track time and preserve hardness gap d'/d

VQA Hamiltonians act on four registers: A (proof), B (workspace), C (clock 1) and D (clock 2)



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• Set of "proof" Hamiltonians, P, consists of (e.g.)

 $X_{A_j} \otimes |1\rangle\langle 1|_{C_j} \otimes |1\rangle\langle 1|_{D|_{D|}} \xrightarrow{\text{evolve for } \theta = \pi/2}$  apply X to *j*th proof qubit if clocks C and D are *j* and |D|, resp.

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• Set of "quantum verifier" Hamiltonians, Q, consists of (e.g.)

 $(V_j)_{AB} \otimes |01\rangle \langle 10|_{\mathcal{C}_{|A|+j,|A|+j+1}} + (V_j^{\dagger})_{AB} \otimes |10\rangle \langle 01|_{\mathcal{C}_{|A|+j,|A|+j+1}} \qquad \xrightarrow{\text{evolve for } \theta = \pi/2}$ 

apply *j*th gate of verifier *V*, update clock *C* from |A| + j to |A| + j + 1

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• Set of "proof" Hamiltonians, P, consists of (e.g.)

 $X_{A_j} \otimes |1\rangle\langle 1|_{C_j} \otimes |1\rangle\langle 1|_{D_{|D|}} \xrightarrow{\text{evolve for } \theta = \pi/2} \text{ apply } X \text{ to } j \text{th proof qubit if clocks } C \text{ and } D \text{ are } j \text{ and } |D|, \text{ resp.}$ 

• Set of "quantum verifier" Hamiltonians, Q, consists of (e.g.)

 $(V_j)_{AB} \otimes |01\rangle \langle 10|_{\mathcal{C}_{|A|+j,|A|+j+1}} + (V_j^{\dagger})_{AB} \otimes |10\rangle \langle 01|_{\mathcal{C}_{|A|+j,|A|+j+1}} \qquad \xrightarrow{\text{evolve for } \theta = \pi/2}$ 

apply *j*th gate of verifier *V*, update clock *C* from |A| + j to |A| + j + 1

• Observable *M* measures output qubit of *V* when clock *C* set to |C|

Hardness of optimizing VQA/QAOA depth

## Honest provers actions, given instance (V, g, g') of QMSA

- Prepare proof y by flipping each appropriate bit of register A
  - Takes HammingWeight(y) many Hamiltonian evolutions from set P
- 2 Simulate each gate of verifier  $V = V_L \cdots V_1$ 
  - Takes L many Hamiltonian evolutions from set Q
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Bad news: Honest prover above applies HammingWeight( $\gamma$ )+L evolutions, so ratio obtained scales as

$$rac{g'+L}{g+L} o$$
 1 if  $L \in \omega(g).$ 

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Fix: Use 2D clock to make flipping each bit of proof "more costly" without blowing up encoding size:

New hardness ratio: 
$$\frac{g'|D|+L}{g|D|+L} \approx \frac{g'}{g}$$
 for  $|D| \in \omega(L)$ , (1)

Hardness of optimizing VQA/QAOA depth

## Soundness for dishonest prover

### **Computation Subspace Preservation Lemma**

For any sequence of angles  $\theta_j \in \mathbb{R}$  and Hamiltonians  $H_j \in P \cup Q \cup F \cup G$ ,

$$e^{i heta_m H_m} \cdots e^{i heta_2 H_2} e^{i heta_1 H_1} | 0 \cdots 0 
angle_{ extsf{ABCD}}$$

is in span of states from

$$S := \left\{ V_{s-|A|} \cdots V_1 | y \rangle_A | 0 \cdots 0 \rangle_B | \widetilde{s} \rangle_C | \widetilde{t} \rangle_D \mid y \in \{0,1\}^{|A|}, s \in \{1,\ldots,|C|\}, t \in \{1,\ldots,|D|\} \right\}.$$
(2)

#### In words:

- Any sequence of Hamiltonian evolutions keeps us in "logical computation space" S.
- Implication: Forces prover to essentially follow honest strategy

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## Challenge 3: Extending to QAOA

### For QAOA:

- Only 2 Hamiltonians allowed, *H*<sub>b</sub> (driving Hamiltonian) and *H*<sub>c</sub> (cost Hamiltonian),
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Core idea: Alternate even/odd steps of honest prover's actions, i.e.  $H_b$  does even steps,  $H_c$  odd steps.

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#### Under the hood, build on MIN-VQA construction as follows:

- Make all odd (respectively, even) local terms H<sub>i</sub> pairwise commute.
- Introduce 3-cyclic local terms G<sub>i</sub> which encode multiple logical actions (instead of just 2)
- 3 Add constraints to  $H_b$  to ensure its unique ground state is correct start state.
- **4** M added as local term to  $H_c$ , but scaled larger than all other terms in  $H_c$ .

### Summary

- Estimating the optimal depth of a VQA/QAOA ansatz is intractable, even with large multiplicative error
- Formally, QCMA-hard within multiplicative error  $N^{1-\epsilon}$  for any  $\epsilon > 0$ .
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### Open questions

- NP-hardness of approximation for QAOA depth for classical cost Hamiltonian?
- Good *heuristics* for approximating depth in practice?
- How hard is optimal depth approximation in *noisy* setting?
- Hardness of approximation for other QCMA-complete problems?

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### "Moral" questions

- Obtained hardness of approximation without quantum PCP. In "classical SAT" language:
  - Leveraged hardness of approximation relative to Hamming weight of satisfying assignments
  - ▶ In contrast, "classic PCP for SAT" gives hardness of approximation relative to # clauses satisfied
- Quantum complexity theory hero or villain?